



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/752,669

01/08/2004

Jon H. Muskin

MUS-1006

4462

7590

07/16/2007

Jon Muskin

4450 South Park Ave, #912

Chevy Chase, MD 20815

EXAMINER

SAGER, MARK ALAN

ART UNIT

PAPER NUMBER

3714

MAIL DATE

DELIVERY MODE

07/16/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/752,669

Applicant(s)

MUSKIN, JON H.

Examiner

M. A. Sager

Art Unit

3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 May 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 5/24/06.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.

Claim Objections

1. Claim 12 is objected to because of the following informalities: use of acronym PDA prior to initial spelling out of personal data assistant. Appropriate correction is required.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-2, 5, 7-9, 11-15 and 18-19 are rejected under 35 U.S.C. 102(e) as being anticipated by Schneier (6607439). Schneier discloses a system and game (figs. 1-13) teaching claimed steps/features including generating and storing [a plurality of] results of a random wagering game such as slot machine or bingo or keno (i.e. keno is a lottery game) by a source computer (4:58-9:40, 10:10-42, 11:61-12:3, 13:56-14:25, 20:10-46), copying the results into a portable or viewing device or copying the results onto a computer readable storage such as a PDA, HTV, plug-in module or smart card used/read by HTV (4:58-9:40, 10:10-42, 10:54-11:7, 11:61-12:3, 13:56-14:25, 18:44-47, 19:61-20:46), displaying the results on the portable viewing device or copying software onto the computer readable storage which can read the results and display the results (sic), generating results until an amount of credits from the wagering is zero (10:10-42, implicit/inherent at least since casinos require pay to play to be profitable), crediting to a purchaser or borrower of the portable viewing device an amount based on a tabulation of the results (4:58-9:40, 10:10-42, 10:54-11:7, 11:61-12:3, 13:56-14:25, 15:44-16:35, 20:10-46, 18:44-

Art Unit: 3714

47, 19:61-27:28), awarding non-monetary prizes based on the entire results (15:44-49), wherein each of the results has an associated monetary value (3:56-14:25, 15:44-16:35, 19:61-27:28), wherein one of the results may have an associated non-monetary prize (sic), including an apparatus with a storage storing a set of predetermined wagering game results and a processing unit reading results from the set and displaying a wagering game using the results on an output device (supra) and a computer readable storage medium having data representing a set of predetermined wagering game results and software controlling a computer to perform reading results from the set and displaying a wagering game using the results on a output device as shown above.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
 2. Ascertaining the differences between the prior art and the claims at issue.
 3. Resolving the level of ordinary skill in the pertinent art.
 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
6. Claims 3-4 and 16-17 are rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Schneier in view of either Gimmon

Art Unit: 3714

(5096195) or Walker (6012983 or 6634942). Schneier discloses system and game comprising claimed features/steps (supra) including spinning the slot machine (reels) when a user presses a button on the portable viewing device or the software reads the results and displays a slot machine game prompted (started) by a user via player controls such as touch screen to start a graphic rendition on HTV or PDA so as to emulate existing slot machines (10:38-42, 13:56-14:25, 16:11-35, 19:61-20:25) and not allowing the user to continue to spin the slot machine when the results have all been displayed (inherent/implicit in so far as casino or game authority permits play/view for all purchased plays). Alternatively, where Schneier lacks a button. Use of button or an equivalent such as handle to start slot play is well known as taught by Gimmon or Walker ('983 or '942) for player control. Thus, it would have been obvious to an artisan at a time prior to invention to add a button as taught by either Gimmon or Walker to Schneier to permit equivalent input device for player start/prompt of game initiation. Also, the feature 'emulates existing slot machines' does not distinguish over slot play taught by Schneier in so far as Schneier displays game outcome in a slot machine format and thus emulates play of slot machine. Also, it would be obvious to emulate existing slot machines that a game authority has license so as to preclude additional royalties/payments.

7. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Schneier in view of Koza. Where 'respective set of desired numbers' requires player selection of desired numbers rather than a quick pick or automated pick of values for comparison with random numbers picked, Schneier discloses a system and game teaching claimed steps/features (sic) but lacks a respective set of desired numbers. Koza discloses an apparatus as a wireless electronic ticket that teaches a plurality of random numbers as selected by a game authority or manufacturer and a

Art Unit: 3714

respective set of desired numbers selected by a player (4:5-11, 15-31, 4:37-6:37) so as to permit player selection of their 'lucky' symbols/numbers. Thus, it would have been obvious to an artisan at a time prior to invention to add respective set of desired numbers as taught by Koza to Schneier so as to permit player selection of their lucky numbers.

8. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Schneier in view of either Kelmer (5816917) or Walker (6012983). Schneier discloses a system and game teaching claimed steps/features (sic) but lacks exceeds a win cap amount. Kelmer (abstract) and Walker (8:26-32, 10:3-7) each teach stopping play upon exceeding/reaching a threshold so as to provide a halt to play upon player obtaining a predetermined balance or achieve a particular outcome. Thus it would have been obvious to an artisan at a time prior to the invention to add exceeds a win cap amount as taught by either Kelmer or Walker to Schneier so as to provide a halt to play upon player obtaining a predetermined balance or achieve a particular outcome.

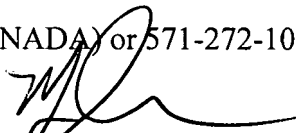
Conclusion

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to M. A. Sager whose telephone number is 571-272-4454. The examiner can normally be reached on T-F, 0700-1730 hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Pezzuto can be reached on 571-272-6996. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3714

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



M. A. Sager
Primary Examiner
Art Unit 3714

mas